Agronomy for Sustainable Development

Ratooning and perennial staple crops in Malawi. A review --Manuscript Draft--

Manuscript Number:	ASDE-D-16-00062R3					
Full Title:	Ratooning and perennial staple crops in Malawi. A review					
Article Type:	Review Article					
Keywords:	Africa; agroecology; Malawi; perennial staple crops; ratooning					
Corresponding Author:	Paul Rogé, PhD					
	UNITED STATES					
Corresponding Author Secondary Information:						
Corresponding Author's Institution:						
Corresponding Author's Secondary Institution:						
First Author:	Paul Rogé, PhD					
First Author Secondary Information:						
Order of Authors:	Paul Rogé, PhD					
	Sieglinde Snapp					
	Mayamiko Nathaniel Kakwera					
	Leah Mungai					
	Isaac Jambo					
	Brad Peter					
Order of Authors Secondary Information:						
Funding Information:	Bill and Melinda Gates Foundation (US) (OPP1076311)	Professor Sieglinde Snapp				
Abstract:	The management of staple crops as perent strategy in some regions of Sub-Saharan A subject. Farmers in Malawi cut annual crops extend production for more than one growin has a proven track record of abating hunge historic role of perennial staple crops in Mal crop which leaves the roots and the lower pthe stubble crop. This review is completed major points follow. The management of staresearch. Indeed, we retrieved only 86 refer pigeonpea. Of these, 9% and 19% respectifiterature and interviews indicate that pigeon potential when well managed in ratoon syst responses that supported ratooning mention ratoon include stimulating regrowth (30%) a responses that were against ratooning cited excessive vegetative regrowth (18%).	frica, yet perenniality is rarely an agronomic s, such as pigeonpea and sorghum, to ng season. Cassava, a perennial food crop, r. Here we review ratooning, as well as the lawi. Ratooning is a method of harvesting a parts of the plant uncut to give the ratoon or with interviews with Malawian farmers. The paper crops as perennials is underserved by rences on ratooning sorghum and vely were from the African continent. The inpea and sorghum have high productive ems. Thirty-five percent of interviewee ned saving seed. Other primary reasons to and saving labor (20%). However, 31% of				
Author Comments:	Dear Marjolaine Hamelin, I apologize for the oversight in editing the figure revised submission uses contrasting colors bit of complication with Figure 7, which also ratooning and non-ratooning. I have found a Zomba. I hope this will meet your publication	for the districts. However, this poses a little has a distinction between reasons for a solution by modifying the alpha for				

	Sincerely, Paul Rogé
Response to Reviewers:	Dear Marjolaine Hamelin, I apologize for the oversight in editing the figures according to your request. The revised submission uses contrasting colors for the districts. However, this poses a little bit of complication with Figure 7, which also has a distinction between reasons for ratooning and non-ratooning. I have found a solution by modifying the alpha for Zomba. I hope this will meet your publication guidelines. Sincerely, Paul Rogé

Dear Marjolaine Hamelin,

I apologize for the oversight in editing the figures according to your request. The revised submission uses contrasting colors for the districts. However, this poses a little bit of complication with Figure 7, which also has a distinction between reasons for ratooning and non-ratooning. I have found a solution by modifying the alpha for Zomba. I hope this will meet your publication guidelines.

Sincerely, Paul Rogé 1

Ratooning and perennial staple crops in Malawi. A review

- 2 **Abstract**: The management of staple crops as perennials is a historic legacy and a present-day strategy in
- 3 some regions of Sub-Saharan Africa, yet perenniality is rarely an agronomic subject. Farmers in Malawi
- 4 cut annual crops, such as pigeonpea and sorghum, to extend production for more than one growing
- 5 season. Cassava, a perennial food crop, has a proven track record of abating hunger. Here we review
- 6 ratooning, as well as the historic role of perennial staple crops in Malawi. Ratooning is a method of
- 7 harvesting a crop which leaves the roots and the lower parts of the plant uncut to give the ration or the
- 8 stubble crop. This review is completed with interviews with Malawian farmers. The major points follow.
- 9 The management of staple crops as perennials is underserved by research. Indeed, we retrieved only 86
- references on ratooning sorghum and pigeonpea. Of these, 9% and 19% respectively were from the
- 11 African continent. The literature and interviews indicate that pigeonpea and sorghum have high
- 12 productive potential when well managed in ration systems. Thirty-five percent of interviewee responses
- 13 that supported ratooning mentioned saving seed. Other primary reasons to ratoon include stimulating
- regrowth (30%) and saving labor (20%). However, 31% of responses that were against ratooning cited
- increased disease potential, as well as excessive vegetative regrowth (18%).
- 16 **Keywords**: Africa; agroecology; Malawi; perennial staple crops; ratooning

17 Table of Contents

- 1. Introduction
- 19 1.1. A review of the literature on ratooning
- 20 1.1.1. Ratooned sorghum
- 21 1.1.2. Ratooned pigeonpea
- 22 1.2. Perennial staple crops in Malawi
- 2. Case study approach
- 3. Farmer interviews
- 25 3.1. Perennial staple crops in Malawi
- 26 3.2. The use and management of perennial staple crops
- 4. A pathway for action and research
- 4.1. Community level
- 4.2. Crop-specific research needs
- 30 5. Conclusion

1. Introduction

32

59

- 33 Perenniality in mixed cropping systems is rarely an agronomic subject, as is demonstrated by
- 34 comparatively modest research on the breeding of perennial grains (Kane et al. 2016) and on
- 35 permaculture (Ferguson and Lovell 2014). In reality, small farmers around the world already resist
- 36 simplified models of agriculture by diversifying their farms (Altieri 2004; Altieri and Toledo 2011). The
- 37 central motivation of our review is to consider options for shifting agronomic research from models
- 38 focused on monoculture production of short duration crop types toward those focused on the integration
- 39 of perennial and annual species in mixed cropping systems.
- 40 The cultivation and wild harvesting of perennial foods is a historic legacy and a present-day strategy in
- some regions of Sub-Saharan Africa (see Harlan 1989a; Harlan 1989b; NRC 1996; Batello et al. 2004;
- 42 Batello et al. 2014). For the purposes of this article, we consider crops that may be managed to produce
- 43 harvests for more than one rainy season as exhibiting perennial attributes. These attributes are present in
- 44 several important African food crops such as sorghum (Sorghum bicolor [L.] Moench), pigeonpea
- 45 (Cajanus cajan [L.] Millsp.), and cassava (Manihot esculenta). Our interest is primarily in perennial
- 46 grains, but we include cassava because it is commonly grown for more than one rainy season.
- 47 Our study begins with a review of the literature on ratooning pigeonpea and sorghum. Ratooning is the
- 48 cultural practice of cutting crops near their crown after a first harvest of grain or fodder (Figure 1). This
- 49 permits crops such as sorghum, pearl millet, and pigeonpea to grow back for subsequent harvests of grain
- or animal forage (NRC 1996). We also discuss the historic contributions of perennial attributes to
- environmental sustainability and food security in Malawi. In order to strengthen the limited research on
- rationing generally and on the role of perenniality in Malawian food systems, we reflect on interviews
- with Malawian farmers about the use of perennial staple crops under largely rainfed and marginal
- 54 conditions. Are perennial staple crops grown in particular agricultural environments or under certain
- 55 social contexts? In comparison with annuals, perennials may exhibit different pests and diseases, market
- 56 potential, timing of production, and spatial arrangements. We highlight how perennial staple crops already
- 57 contribute to the agricultural objectives of Malawian farmers. Finally, we discuss opportunities for action
- and research to develop the potential of perennial staple crops.

1.1. A review of the literature on ratooning

- 60 There is little research on the cultural practice of ratooning sorghum and pigeonpea. A search of four
- 61 prominent bibliographic databases retrieved 66 relevant references on the ratooning of sorghum and 20
- 62 relevant references on the ratooning of pigeonpea. Kane et al. (2016) explain how this search was
- 63 conducted. Of the references whose location could be determined, the majority of research on ratooning

- sorghum and pigeonpea came from India (40 and 81%, respectively; Table 1). The United States and
- Australia have contributed some research on ratooning sorghum (22 and 9%, respectively). Very little
- research on ratooning sorghum and pigeonpea comes from the African continent (9 and 19% respectively)
- even though Africa is a center of diversity for these crops. We begin by reflecting on the primary issues
- and research findings globally given limited prior research. Later sections present a research agenda on
- 69 perennial staple crops for the southern and eastern Africa region that includes the important cultural
- 70 practice of ratooning.

71

1.1.1. Ratooned sorghum

- 72 Breeding and selection of sorghum for ratooning systems is sparse. The earliest scientific literature on
- 73 rationing has promoted it as a method used for seed selection of outcrossed seed stock (Parmornchantr
- 74 1966). It was not until the 1980s that tiller regrowth of a ration crop was used as a measure of non-
- senescence and was found to be quantitatively inherited with additive genetic effects and no indication of
- significant dominance genetic effects (Duncan et al. 1980). More recently, the genes commonly expressed
- in rhizomes were identified to find possible relatedness between rhizomatous and ratooning quantitative
- 78 trait loci that may be a result of ancient duplication (Jang et al. 2006). Areas highlighted for breeding
- 79 include ration cultivar types with pest resistance and with increased plant weight production of the ration
- 80 crop (Duncan and Gardner 1984). Although varietal adaptability is important (Goodroad and Duncan
- 81 1988), breeding efforts to stabilize ration crop yields may even be more so (Duncan and Moss 1987).
- 82 Early duration varieties may help the ratoon crop avoid drought (Bapat and Shinde 1978), but medium-
- maturity sorghum hybrids have been found to out-yield the early group by 2.70 t grain/ha and the late
- group by 1.90 t grain/ha (Duncan 1979). Only one study found that planting sorghum hybrids out-yielded
- 85 rationed sorghum in terms of grain and fodder over a three year period (Gaikwad et al. 1984).
- 86 Ratooning sorghum is primarily a water management strategy in southern and eastern Africa. In Ethiopia,
- a center of origin and diversity for sorghum, 30% of farmers practiced ratooning mostly due to drought
- 88 (71%), but also pests (26%; Mekbib 2009). Studies have shown that rationed sorghum has high
- 89 production stability in both below and above average rainfall years under semi-arid conditions
- 90 (Brahmbhatt and Patel 1983). This perspective runs contrary to the hydrologic and crop growth models of
- 91 rationed grain sorghum in the Central Texas Badlands that predicted inadequate yields with normal water
- 92 levels (Stinson et al. 1981). These water shortfalls for rationed sorghum production might be mitigated by
- 93 recommendations from agronomic research on critical stages for irrigation to ensure optimal yields and
- 94 high water use efficiency (Patel et al. 1989), such as at flowering (Subramanian et al. 1986).
- 95 Diseases, such as the fungus Colletotrichum graminicola (Bergquist 1973), and insect pests, such as the

96 fall armyworm (Spodoptera frugiperda Smith; Duncan and Gardner 1984), appear to be more severe on 97 ratoon sorghum. For example, a study in India found that Atherigona soccata (Rond.) caused particularly 98 substantial losses of grain and fodder in the ration crop (Mote et al. 1982). The only abundant pest of 99 sorghum identified by Mote (1983) was A. soccata, which caused the most losses in grain and fodder 100 production for the ration crop in comparison to the rainy season and winter crops. More specific to our 101 region of interest, stem borer Chilo partellus (Swinhoe) has expanded its range in the low-altitude regions 102 of eastern and southern Africa since its first detection in 1932 in part because of its efficiency in 103 colonizing ratooned sorghum, thus outcompeting the stem borer Busseola fusca (Fuller) that was already 104 present in the region (Kfir 1997). In South Africa, the first generation of C. partellus was found to only 105 infest early grain sorghum tillers from a ratoon crop (Kfir 1992). Larval parasitism, mostly by Cotesia 106 sesamiae, usually lags behind the peak larval population of even the native B. fusca in the rationed 107 sorghum crops of South Africa (Kfir and Bell 1993). 108 Management choices appear to greatly influence the grain yields from ratooned sorghum, which suggests 109 that high sorghum yields are possible with good management (Wade et al. 1992). Research in northern 110 India found that ration crops produced more fresh fodder and grain than late sown sorghum (Pal and 111 Kaushik 1969), which was attributed to increased tillering. However, the ration crop may produce more 112 biomass but less seed production than the original crop (Rao and Damodaram 1972). The cutting height 113 may influence these outcomes, since cutting at 3 cm can lead to infection by disease organisms and higher 114 cuttings at 13 cm can affect the ability of adventitious roots to reach the ground (Escalada 1974). Most 115 research agrees that ratooning sorghum at a high height increases the production of shoots (Rao and 116 Damodaram 1972), achieves more uniform tiller production (Escalada 1974), and obtains the best grain 117 and biomass production (Mackenzie et al. 1970; Escalada 1974; Foloni et al. 2008). However, these 118 experiments compared different cutting heights: 100 cm versus 20 cm (Mackenzie et al. 1970); 22.5 cm 119 versus 10 and 15 cm (Rao and Damodaram 1972); 8 cm versus 3 cm (Escalada 1974); and 36 cm versus 120 13 cm (Foloni et al. 2008). 121 Advanced planning may greatly increase the economic success of ratooned sorghum by lowering 122 establishment costs, increasing rainwater efficiency, and reducing soil erosion (Calderwood et al. 1996). 123 Aguiar (1981) argues that the overall yield from three years of annual sorghum rationing planted in 124 Brazil is technically viable even though grain yields decreased from the original harvest to the two 125 subsequent ration croppings. Foale and Carberry (1996) propose that the flexibility of sorghum, including 126 its ratooning ability, makes it a good candidate for on-farm cropping research that aims to reduce farmers' 127 level of risk. However, cropping systems research involving ratooning sorghum has thus far occurred on 128 research stations. Numerous potential cropping systems that include ratooned sorghum have been

- 129 identified with positive productivity outcomes (Dunavin 1975; Ramshe et al. 1985; Asokaraja and
- Ramiah 1988; Brunson and Griffin 1988; Chen and Yein 2005). However, ratooned sorghum was not
- found to be part of the most productive or economically viable cropping system tested in some cases
- 132 (Pawar and Thaval 1984; Reddy and Willey 1985; Arunachalam et al. 1993).
- 133 The positive effects of applying organic or synthetic fertilizers to rationed sorghum include reducing days
- to flowering (Molina et al. 1977), and in one case producing more grain yield than the original crop
- 135 (Balasubramaniam and Manickasundram 1993). Various other studies have identified the most productive
- and economical combinations of fertilizers for rationed sorghum (Touchton and Martin 1981; Lomte and
- 137 Dabhade 1990; Huang et al. 1992). Some of these studies were conducted in irrigated conditions (Lomte
- and Dabhade 1990), or in combination with pesticides (Touchton and Martin 1981). However, cropping
- arrangement may be more critical than fertilization regimes (Bhat and Hosmani 1993).

140 1.1.2. Ratooned pigeonpea

- 141 Very little research has been done to select pigeonpea cultivars for ration crops. Gwata and Silim (2009)
- developed three pigeonpea cultivars for southern and eastern Africa that are compatible with ratooning,
- 143 however no yield or ratooning comparisons were reported. A high variability in yields from the ratoon
- crop suggests that more selection is needed for yield stability (Sharma et al. 1978). One possible selection
- indicator may be high leaf area retention in the original crop, as was observed for short duration
- pigeonpea varieties in Andhra Pradesh, India (Chauhan et al. 1996).
- 147 The ration crop of pigeonpea generally produces less than the original crop depending on environmental
- 148 conditions, but with minimal additional effort. The number of possible harvests, as well as the most
- productive varieties and planting density, were found to be site specific in India (Chauhan et al. 1984a).
- For example, reported second harvests from pigeonpea was generally higher for non-rationed crops than
- 151 rationed crops, and the yield potential of the second harvest was greater on Alfisols with one watering
- than on Vertisols (Venkataratnam and Sheldrake 1985). Even when pigeonpea planted in Vertisol soils
- suffered attacks from *Rhizoctonia bataticola* in the dry season, the crops managed to recover in the
- Monsoon rains, thus producing two subsequent smaller yields, but with minimal additional effort
- 155 (Chauhan et al. 1984b).
- However, there have also been reports that a bushy pigeonpea genotype planted in an Alfisol under semi-
- arid conditions produced more grain yields in the ration crop compared to the original crop regardless of
- whether or not the crops received micrositing with water or castor cake treatment (Nimbole 1997).
- Ratooned pigeonpea was found to facilitate cross-pollination between early and medium flowering
- 160 cultivars (Saxena et al. 1976). Additionally, less flower fall was seen on ratooned early maturing

161	pigeonpea than on non-ratooned crops. Moreover, the differences in grain yield between the main and
162	ratoon crops have been found to differ more when grown on large plots than on small plots (Johansen et
163	al. 1991). Thus, the productivity of ratooned pigeonpea may be largely environmentally dependent.
164	More investigation is needed on the benefits and drawbacks of different harvesting and ratooning
165	strategies for pigeonpea. In Nigeria, a dwarf pigeonpea variety produced more after two ratoonings when
166	cut at a low height (30 cm) than at a high height (60 cm), however both ratooning heights performed
167	better than crops that were left intact (Tayo 1985). This differs from a study in India that reported the best
168	yields for a short duration pigeonpea were obtained using a full recommended dose of fertilizer and
169	ratooning by plucking the pods rather than cutting (Mahale et al. 1997).
170	More research is also needed on cropping systems since those involving rationed pigeonpea were not
171	always found to be the most productive (Yadava and Yadav 1995; Maheshwari et al. 1997). Yadav and
172	Yadav (1991) reported that increasing plant densities decreases yields in the ration crop by a third of the
173	orginial harvest. Nevertheless, studies found that cropping systems with ratooned pigeonpea had the
174	highest benefit:cost ratio (Yadav and Yadav 1992; Yadava and Yadav 1995).
175	Studies on disease and pests of ratooned pigeonpea appear to be much less prevalent than for sorghum.
176	Pandey and Singh (2000) determined that ratooning was unfeasable based on high rainfall years that
177	provoked diseases and excessive plant growth. However, the more resistant genotypes to fusarium wilt
178	have been shown to produce twice as much grain in the second season compared to the first (Reddy and
179	Raju 1997a). In a study from Nigeria, significant seed damage caused by Clavigralla spp. during the
180	reproductive phase of both the original and ratoon crops of pigeonpea was somewhat mediated by
181	intercropping with maize even though overall pigeonpea yields still dropped due the intercropping effect
182	(Dasbak et al. 2012). Moreover, the genotypes of pigeonpea exhibited different pest resistance and grain
183	yields.

1.2. Perennial staple crops in Malawi

184

185 The scientific literature on ratooning sorghum and pigeonpea clearly shows the limited quantity of 186 research on ratooning sorghum and pigeonpea generally, as well as in southern and eastern Africa. We 187 therefore draw on a wider body of literature to highlight the historic importance of perennial staple crops 188 in Malawi. The history of agricultural policies shows a persistent assumption that soil degradation and 189 human population are the principal causes of food shortages and the determinants of sustainability. The 190 presumption that indigenous practices are not sufficiently productive or effective at protecting soil is 191 complex, and is intertwined with Colonial attitudes toward African farmers and perceived causes of food 192 shortage. We explore some of the history, and consequences for smallholder farming systems in Malawi

193 today.

220

221

194 Food security and land degradation in Malawi is partly a legacy of Colonial policies that discouraged 195 indigenous practices, such as the cultivation of sorghum and pigeonpea. Intercropping is one of the oldest 196 indigenous techniques of crop production in tropical Africa. In Malawi, intercropping increases the 197 chances of obtaining a harvest, improves soil fertility, and maximizes the returns to labor by harvesting 198 multiple crops from the same piece of cleared land (Mulwafu 2011). Indigenous soil conservation 199 strategies – planting outer ridges with trees and grass, planting bananas on contour, and building stone 200 borders – also contribute to sustained yields. These indigenous strategies reduce soil losses, increase soil 201 fertility, and enhance the infiltration and water holding capacities of soils. To this day, stone lines are 202 widely used in steeper areas of Malawi, particularly in remote areas where indigenous knowledge is more 203 prominent (Kanyama-Phiri et al. 2000). 204 Colonial Era conservation projects transformed interactions between small farmers and the environment. 205 Prior to World War II, governments around the world promoted soil conservation strategies due to 206 concerns that were heightened by the dust storms of the American Dust Bowl. However, in Malawi there 207 was little credence given to indigenous systems of knowledge (Mulwafu 2011). Conservation laws instead 208 required the implementation of techniques that were developed by the Imperial Forestry Institute (IFI) of 209 Oxford, such as contour ridging, box ridging, bunding, and terracing. Not surprisingly, labor-intensive 210 soil conservation laws were unpopular with farmers, and enforcement often involved coercion in the form 211 of penalty fines and physical punishment. 212 The Colonial Department of Agriculture also prosecuted farmers who cultivated along rivers, who grew 213 polycultures, or who grew hardy perennial sorghum (Vaughan 1987). A vision of modern agriculture was 214 promoted based on the monoculture production of maize (Mulwafu 2011). Sorghum was described by 215 Buchanan (1885) in such terms: 216 "Sorghum or Kaffir corn is grown chiefly on the river, and in some places in Zomba... 217 Sorghum has the advantage of being biennial: it may even be used for three years, but the 218 third crop is apt to be poor and blanky [sic]. The grain is easier converted to flour than 219 maize: the plant, too, though growing to an immense height -- often 12 feet -- when the soil

222 In 1949, the Southern Province of Malawi experienced a severe famine when maize crops failed.

sorghum are of a stronger character, and go farther in search of food."

However, the famine was in fact one hundred years in the making rather than a "subsistence crisis"

224 (Vaughan 1987). Across the Southern Province, there was high variability in the degree of food shortage;

is good, will yield a fair return in thin shingly soil that would not support maize. The roots of

- wetland maize and drought-resistant crops, like rationed sorghum, produced well (Vaughan 1987).
- Fortunately, farmers did not universally adopt the Department of Agriculture's earlier campaign against
- the cultivation of such hardy crops, which provided populations with options for local famine relief
- 228 (Vaughan 1987).
- 229 Sorghum and root crops received more attention from the Department of Agriculture following the famine
- of 1949 because of their contribution to abating hunger. Blantyre produced a surplus of sorghum in the
- 231 1950s and Indian traders fostered a cash crop industry for the production of pulses (Vaughan 1987) that
- 232 likely included pigeonpea. An official sorghum market was established, and farmers increased the
- production of cassava and the cultivation of wetlands.
- Fifty-three years later in 2002, Malawi experienced its next food crisis that once again was not solely due
- 235 to failures of production. Between 300 and 3,000 Malawians are estimated to have died of starvation and
- diseases related to hunger (Devereux 2002). The national production of maize had fallen by 32% from a
- record high of 2.5 million metric tons in the 1999/2000 season to 1.7 metric tons in 2000/2001 due to high
- 238 rainfall that waterlogged fields. Officials initially explained local maize shortages and increased market
- prices as the result of Malawian's "inflexible eating habits" and "strong consumption preference for
- 240 maize" even though many Malawians relocated to cassava growing areas for food.
- Additionally, a decade earlier in 1991/92, a severe drought had reduced maize production in Malawi by
- less than half of the 2001/02 harvest but with less severe consequences (Eldridge 2002). The 2002 crisis
- 243 was provoked by the increased vulnerability of poor and rural populations to natural disasters due to
- declining soil fertility, shrinking landholdings, and increasing rates of HIV/AIDS infection (Devereux
- 245 2002). Notably, life expectancy had decreased from 51 to 37 years by 1999, which resulted in severe
- 246 constraints on adult labor (Haacker 2002).
- Moreover, agricultural trade liberalization weakened national institutions, and consequently increased the
- 248 exposure of Malawians to failures in production, markets, and relief support (Devereux 2002). The
- 249 Malawian government had compromised its ability to respond to the crisis when it sold its Strategic Grain
- 250 Reserve (SGR) to Kenya and Mozambique between April May 2001. The International Monetary Fund
- 251 (IMF) had encouraged Malawi to sell part of its SGR to pay for its debts. Malawi had harvested bumper
- 252 maize yields in the two previous seasons that could have very well averted the crisis.

2. Case study approach

253

- Malawi's agricultural history forms the basis for our interest in the farmers' experiences with perennial
- staple crops. We used semi-structured interviews to better understand the importance of perenniality and
- to assess the potential for future research. We spoke with 48 farmers between July August of 2014 from

257	4 villages in each of the Northern, Central, and Southern Regions (Figure 2). Four interviews were
258	conducted per village for a total of 16 interviews per Region. Specifically, we visited the Districts of
259	Mzimba (Northern Region), Kasungu (Central Region), and Zomba (Southern Region). 1 Each interview
260	was with a member from a different household, and we balanced the number of interviews between
261	women and men. We also spoke with at least two farmers per village who had grown perennial staple
262	crops during the 2013/14 rainy season.
263	These sites have distinct histories of producing perennial staple crops. Notably, soil improvement and
264	food security projects have introduced pigeonpea in the past 10 years to villages in the Central and
265	Northern Regions (Snapp et al. 2010). We also learned through the course of our fieldwork that the
266	distribution of pigeonpea was facilitated by agricultural extension agents to neighboring villages. We
267	selected Mzimba and Kasungu villages within 8km of where projects had introduced pigeonpea. In
268	contrast, pigeonpea and sorghum have been historically produced in the Southern Region.
269	An additional criterion for the selection of villages was the mean peak growing season (February-March)
270	Normalized Difference Vegetation Index (NDVI) from 2003 to 2010. NDVI was used as an indicator of
271	vegetation productivity. We generated the NDVI grid and clipped it to correspond with areas that were
272	classified as agriculture by at least four out of eight land-use classification systems (Messina et al. 2014).
273	We selected two villages in each Region with relatively low NDVI values and two villages in each
274	Region with relatively high NDVI values. Since our interviews were not associated directly with any
275	particular plot, we targeted villages that were located in areas with generally low or high NDVI rather
276	than specific pixels with extreme values. However, clear distinctions were not apparent while in the field
277	or during data analysis.
278	As a semi-structured approach, we developed an initial set of questions in advance that provided a
279	flexible structure to guide conversations with farmers. We tested the questions with farmers in Mzimba
280	before starting the research campaign. However, the guiding questions continued to evolve based on
281	informant feedback and unanticipated dimensions. Responses to follow-up and clarifying questions that
282	emerged during the interviews were also documented. This article primarily relies on information
283	obtained about the role of perennial staple crops in Malawian farming systems and the cultural practices
284	associated with crop cycles extending through the dry season (themes 1 and 2 in Table 2).

285

¹The villages of: Msekeni Kawelani, Yachim Ngwira, Yesaya Jere, and Mkowela Mhlanga near Ekwendeni (Mzimba District, Northern Region); Ndaya, Kadoda, Nsalale, Mbale, Makhalangala, and Tembo in the Mpokwa Extension Planning Area (Kasungu District, Central Region); and Mulenga II, Mwaliwa, Sitima II, and Nasasala in the Simlemba Extension Planning Area (Zomba District, Southern Region).

The information from farmers was detail-rich yet lacking the consistency and volume that is common for more structured surveys. The figures presented in this article are created from queries on the qualitative responses from farmers. The counts of responses are therefore quite uneven across Districts. The most obvious case is Figure 7 for which the question of why farmers did not ratoon was only asked in Zomba. The total count of responses from each District are noted in the figure captions. This approach attempts to facilitate visual comparisons of responses between Districts.

3. Farmer interviews

292

293 Maize is the most common grain crop produced for food and sale (Figure 3). Farmers grow both local and 294 hybrid varieties. Farmers sell crops when they face monetary expenses or when there is an increase in 295 market prices. Cassava, groundnuts (peanuts), and soy are grown in all three Districts, but production for 296 sale is common in Mzimba. Various commercial outlets for groundnuts and soy exist in Mzimba, 297 including the Ekwendeni hospital. The agricultural economies of Kasungu are notably focused on 298 tobacco, and to a lesser extent in Mzimba. Development projects promoted pigeonpea in the Northern and 299 Central Regions, but a commercial market has yet to develop. Consequently, farmers that we interviewed 300 sell pigeonpea within their villages. In Zomba, pigeonpea is widely grown for sale to Indian-owned 301 export companies such as AGORA and Rab Processors. Sorghum and finger millet is sold to local 302 brewing companies. Rice production also distinguishes Zomba from the other two Districts. 303 In the last 10 years, farmers have experienced climatic hardships such as heavy, erratic, and inadequate 304 rainfall. Three farmers in Kasungu associated these climatic hardships with the cutting down of trees that 305 exposes soils to the elements. In Mzimba and Zomba, interviewees reported that heavy rains wash away 306 crops such as tobacco, groundnuts, and maize. The combination of high rainfall and fertilizer applications 307 also increases the risk that maize stalks will collapse (called *lodging*). Short and erratic rainy seasons have 308 affected the timing of planting, which in turn reduces the yields obtained from crops like maize and 309 tobacco. Farmers increasingly adopt early-maturing hybrid maize and have shifted sowing dates from 310 mid-November to early December because of delays in the start of the rainy season. 311 Farmers measure food shortage in terms of maize. Sixty-six percent of interviewees in the three Districts 312 experience maize shortages for different lengths of time between January – April. Acute shortages usually 313 occur for one month between January – March. In rare cases, farmers go without maize for 2-5 months. 314 Across the Districts, farmers use income from farming or alternative economic activities to purchase 315 maize. Families sell crops such as cassava, pigeonpea, soy, tobacco, and horticultural crops from wetland 316 plots. In Mzimba and Kasungu, farmers purchase maize on credit for future tobacco sales. Income for 317 purchasing maize comes from casual labor, livestock sales, trade, and commerce. Maize is procured from

318 non-governmental organizations, the Agricultural Development and Marketing Corporation (ADMARC),

319 or other formal and informal sources.

320

3.1. Perennial staple crops in Malawi

321 According to the farmers that we interviewed, Malawi has favorable climatic and soil conditions for

322 perennial staple crops. Pigeonpea, sorghum, and cassava tolerate poor soils, but perform best in favorable

323 conditions. Perennial staple crops benefit from soils that retain moisture in the dry season, which are

324 associated with fertile/loamy textures and fields with relatively flat slopes in close proximity to rivers.

Farmers manage soil moisture by (1) constructing field borders with vetiver grass, (2) planting crops like

pigeonpea that shade the soil, (3) box ridging, (4) contour bunds and swales, (5) cover cropping with

cowpea, and (6) applying crop residues and manures. Conservation agriculture was mentioned by several

farmers as a recently introduced strategy that includes zero tillage and covering fields with maize stalks.

329 All of these strategies are intended to retain soil moisture during the rainy season, although their effects

may carry over to the dry season.

331 Conflicts with livestock herding is the major constraint for perennial grain production (Figure 4). In

332 Mzimba and Kasungu, animal husbandry presents an important barrier to the production of perennial

crops. Livestock are traditionally released to feed on crop residues after the maize harvest, which occurs

between July – August. Poorly monitored livestock cause damage to those crops that are still growing.

335 Pigeonpea is the most commonly damaged crop by livestock, followed by maize, cassava, sorghum, and

336 soy. Furthermore, the risk of crop damage and theft is a reason to produce perennial grain crops either

337 close to the homestead where they can be monitored, or far from the village where animals are not grazed.

338 Other issues include small landholdings, lack of developed markets, labor shortages, and lack of seed

339 availability.

342

340 Villages that we visited in Mzimba and Kasungu had recently passed ordinances aimed at protecting crops

from livestock year-round since families are increasingly producing cassava and pigeonpea. Strategies

include prohibiting free pasture and designating dedicated areas to graze animals. Interviewees still

expressed concern about growing crops like pigeonpea for more than one year due to hesitance to

344 confront neighbors when such issues arise. Despite the ordinances, farmers expect village headmen to

345 side with families that keep livestock. Zomba farmers experience less issues with damaged crops from

346 livestock than farmers in Mzimba and Kasungu. Half of the interviewees in Zomba described community-

based bylaws for the protection of crops. This may be due to a history of producing perennial pigeonpea

and sorghum in addition to smaller landholdings that require a supervised management of livestock.

Perennial staple crops are most commonly grown for family consumption and for market (Figure 5). In

350	addition, Mzimba and Kasungu farmers use perennials to improve the quality of their soils for maize and
351	other cash crops. For example, the fixation of atmospheric nitrogen and leafy groundcover from
352	pigeonpea contributes to reduced fertilizer inputs and improved maize yields. In these Districts, cassava
353	and pigeonpea are the first crops sown after a fallow period to improve the soil structure for other crops
354	such as tobacco or groundnuts. Pigeonpea and cassava are thought to improve soil fertility and rainwater
355	infiltration. Farmers in Mzimba intercrop with perennial and annual crops to save labor and to increase
356	fertilizer use efficiency. Intercropping annual and perennial crops reduces labor since cultural practices
357	such as soil cultivation, mounding, and weeding are applied simultaneously. Pigeonpea, cassava, and
358	sorghum are often planted as borders between fields in all three Districts.
359	Fallows in Mzimba and Kasungu are used to improve soil fertility (Figure 6). Fields may also be set aside
360	for the children of the family to cultivate when they come of age. Fallows are used for various resources,
361	such as fuelwood, thatch grass, and construction poles. In contrast, farmers from Zomba do not practice
362	fallowing due to a shortage of land.
363	3.2. The use and management of perennial staple crops
364	To follow, we present how perennial pigeonpea, sorghum, and cassava are currently used and managed in
365	Malawi. Pigeonpea is eaten locally as a side dish alongside the staple dish typically prepared from maize
366	(i.e., <i>nsima</i>). Farmers grow pigeonpea for more than one season to increase grain yields in subsequent
367	years, to improve soil fertility, to conserve labor, to reduce external inputs, and to produce more biomass
368	for fuelwood. Maize crops that follow perennial pigeonpea grow faster and achieve acceptable yields with
369	less fertilizer. A particularly effective way for farmers to improve the fertility of their soils is by
370	intercropping groundnuts with pigeonpea, commonly referred to as <i>doubled-up legumes</i> .
371	Ratooning pigeonpea saves labor and seed at sowing time. Grain yields from ratooned pigeonpea also
372	increase, as does biomass for fuelwood. In the three Districts, pigeonpea is sown from December –
373	January for a harvest from April – July. Zomba farmers begin harvesting fresh pigeonpea as early as
374	March. Pigeonpea is ratooned before the first rains from October – December or during the harvest period
375	from June – August. The branches are cut at 30–60 cm above the soil surface using a Panga knife or by
376	hand. Thirty percent of responses in favor of ratooning indicated that ratooning stimulates regrowth
377	(Figure 7). Ratooning was also mentioned as a preventative measure to prevent excessively shading
378	annual companion crops such as maize (5% of responses in support of ratooning). Some farmers
379	apparently are more interested in the yields from maize than from perennial pigeonpea or sorghum; 18%
380	of the responses not in favor of ratooning that the pigeonpea and sorghum regrowth produces an
381	abundance of vegetation to the detriment of maize. Notably, interactions between livestock and ratooning

382	were not mentioned by farmers in Zomba, which may reflect less concern about the risks of livestock due
383	to well-established community-based strategies in the District.
384	As with pigeonpea, sorghum may be grown on soils that are marginal for maize. We encountered more
385	sorghum production in Zomba than Mzimba or Kasungu. Sorghum is used locally for brewing, for
386	porridge, and for <i>nsima</i> . It is also sold when yields allow. Sorghum is planted from November –
387	December and harvested from April – June. Sorghum is rationed in March or October – November at 30-
388	45 cm above the soil surface.
389	Farmers reported differing narratives on the effects of ratooning sorghum (Figure 7). Ratooning saves
390	seed and labor; some farmers reported that ratooning produces vigorous regrowth that may increase grain
391	yields from 50-150 kg/acre (30% of responses in favor of ratooning). However, others do not ratoon
392	sorghum because it promotes diseases (31% of responses against ratooning), particularly one called
393	zilukhwi that a local extension worker translated as 'head smut'. Intercropping may not be possible with
394	sorghum and maize, since the vigorous roots of ratooned sorghum reportedly occupy space and soil
395	nutrients that ultimately suppress maize growth. Two farmers in Zomba also reported that sorghum had
396	poor performance in rotation with maize since soil fertility may decrease.
397	As previously noted, we include cassava because of the functional attributes that it shares with perennial
398	grain crops. Cassava increases food security and saves on labor. Cassava tubers may be harvested year-
399	round, thus supplementing maize shortages in the lean months. Its leaves may also be eaten during the
400	rainy season. Income from selling cassava may be used to buy supplemental maize. In addition to
401	tolerating sandy soils, cassava is often planted immediately after a fallow period to loosen soils. Cassava
402	may be planted as early as October and as late as March. The first harvest is expected to start from seven
403	months after sowing through to the following year. Some farmers in Mzimba choose not to grow cassava
404	because of issues with livestock damage in the dry season. However, planting cassava at the same time as
405	maize does not dramatically increase labor demand; unlike other field crops, farmers consider ridging to
406	be unnecessary. Growing cassava for multiple years also conserves labor.
407	4. A pathway for action and research
TU /	T. A PALITYAY IOI ACIIOII AIIU ICOCAICII

4. A pathway for action and research

- 408 Based on our interviews with farmers, we propose several strategies to enhance the ecological potential of
- 409 Malawian agriculture. We start by identifying useful interventions that encompass the interactions
- 410 between multiple actors at the community level (Table 3). Secondly, we offer recommendations for
- 411 research specifically on perennial pigeonpea, sorghum, and cassava (Table 4).

4.1. Community level

412

413 The scaling out of perennial staple crops will necessarily require livestock management and conflict 414 resolution strategies. Other studies have reported that there is interest in diversifying maize production, 415 however the potential for damage from livestock is a major barrier among a host of concerns including 416 marketability issues, labor shortages, limited seed availability, and the potential for disease and weed 417 problems (Ngwira et al. 2012; Zulu et al. 2015). Although we did speak with farmers who ratooned their 418 crops, others referenced livestock grazing in the dry season as the main reason for their reluctance to grow 419 pigeonpea and cassava for more than one season. 420 Participatory approaches with diverse stakeholders can improve livestock management with respect to 421 novel crops. Farmers are interested in improving the quality of their soils, however they are not confident 422 that new regulations will be effective. Regulations aimed at protecting perennial crops are nascent in 423 Mzimba and Kasungu. There is distrust among the most resource-poor farmers that regulations will be 424 enforced; the families who keep livestock are often resource-endowed and closely aligned with the village 425 headmen who traditionally resolve disputes. Similar findings from focus groups and interviews in the 426 Central Region identified enforcement by village headmen and emerging farmer/livestock clubs as two 427 community based livestock management strategies currently used to avoid crop damages (Zulu et al. 428 2015). 429 Follow-up studies can help identify successful strategies for livestock management and dispute resolution. 430 The differentiated responses we received from Zomba farmers experiencing minimal issues with crop 431 damage from livestock supports the hypothesis made by Zulu et al. (2015) that the promise of an 432 economic return from pigeonpea will lead to more effective social protection measures than soil fertility 433 benefits alone. Research can help better understand the role of markets in the formation of mutually 434 beneficial arrangements for both farmers and livestock managers. 435 In addition to livestock management issues, perennial staple crops present opportunities for improved 436 fallows that increase resource provisioning and ecological benefits. It is commonly believed that long 437 fallows on the order of 10-20 years, called traditional shifting cultivation, are today but an 438 "anthropological curiosity" given population growth in Sub-Saharan Africa (Sanchez 1995). Nevertheless, 439 fallows – albeit of reduced duration – are still practiced in Mzimba and Kasungu. We found that fallows 440 are used to collect fuelwood and construction materials. For places with relatively large landholdings such 441 as Mzimba and Kasungu, improved fallows may achieve some of the same soil-improving outcomes as 442 traditional shifting cultivation in shorter time frames (Ikerra et al. 2001). Such outcomes have been 443 demonstrated in Malawi, Zambia and Kenya (Thangata et al. 2002). A recent study reports that improved 444 fallows were almost twice as common in Kasungu with its large landholdings compared to Machinga in

445	the So	uthern	Region	where	farme	ers are	more	e lanc	l-cons	trained	l (Qui	nion e	t al.	2010).
		_												_

In places that are experiencing land-scarcity like Zomba, intercropping and relay cropping may be more

commonly adopted (Quinion et al. 2010). Pigeonpea is a suitable crop for these purposes. It benefits

family nutrition, supports soil rehabilitation, and produces fuelwood (Orr et al. 2015). However, the study

by Orr et al. (2015) found that fuelwood collection from forests and hills continues even with the adoption

of efficient stoves and with pigeonpea varieties selected for fuelwood. The co-management model of

customary lands in southern Malawi requires more study since human activity is associated with reduced

biodiversity under existing economic land tenure arrangements (Mwase et al. 2006). For this reason,

greater engagement is needed to leverage the interests of local people in restorative forest management

454 practices (Davies et al. 2010).

Generally speaking, the focus of extension on staple grains has a weak correlation with nutritional

outcomes for the rural poor and an emphasis on developing cash crops often accentuates gender

inequality as commercialization may shift horticultural production from women to men (Fanzo et al.

458 2015). In Malawi, most efforts go toward maize, which is the dominant food and economic crop (Snapp).

This emphasis on maize also comes from farmers, who measure food shortage in terms of maize.

However, farmers produce numerous other crops in different forms of association (intercropping, relay

461 cropping, etc). These associated crops play important ecological roles, support better family nutrition, and

enhance food security by providing food in the lean months. We found that farmers were interested in

seed exchanges, field trials, and agronomic advice on associated crops.

464 The quality of agricultural extension services to farmers influences the adoption of associated crops, from

465 supporting improvements in management practices to strengthening market linkages (Kassie et al. 2015;

466 Snapp). Evidence supports that market access and on-farm crop diversity are linked to dietary diversity in

467 Malawi (Jones et al. 2014; Snapp and Fisher 2015), which can be achieved by various means, including

468 more education, increased incomes, and improved crop storage technologies (Snapp and Fisher 2015). A

focus on agroecology, empowerment, and gender issues has benefited the well-being and health of rural

470 families in Malawi (Patel et al. 2015). For example, creating spaces for inter-generational knowledge

471 exchange can foster positive behavior for better childhood nutrition (Satzinger et al. 2009). It is important

to enhance the capacity of local promoters, agricultural extensionists, and researchers in participatory

473 approaches.

472

474

4.2. Crop-specific research needs

We present research needs for pigeonpea, sorghum, and cassava in Malawi. Families grow pigeonpea as a

perennial for up to three years through the cultural practice of ratooning. In Mzimba and Kasungu

4//	pigeonpea now extends beyond the communities that were directly involved in the initial introductions by
478	research projects and development initiatives. Extensionists played an important role in this process.
479	Pigeonpea is highly valued as a perennial for improving soil quality and providing a source of fuelwood.
480	The price for pigeonpea in Mzimba and Kasungu is low and for most families it is not a cash crop. Rather
481	it is grown to improve soil fertility and as a food crop. The importance of perennial pigeonpea is framed
482	by farmers in terms of improved maize growth and yield potential with reduced dependency on fertilizer
483	inputs.
484	Beyond soil improvement potential, ratooning is commonly practiced for market-oriented production of
485	pigeonpea in Zomba because of improved yields that are obtained in subsequent years. This concurs with
486	research from Nigeria, which found that biomass and grain yields from dwarf pigeonpea ratooned for
487	three years was 3-6 times more productive than annually sown pigeonpea (Tayo 1985). However, positive
488	outcomes from ratooning may be very highly site-specific since research from India attributed decreases
489	in yields to ratoon height and soil type (Venkataratnam and Sheldrake 1985). The market price for
490	pigeonpea is high in Zomba, especially with the expansion of export to Indian markets. In our three study
491	areas, pigeonpea interactions with animals are communicated as a negative association due to crop
492	damage. However, there is also the potential for positive outcomes by using pigeonpea as a forage crop.
493	Formal extension channels are unable to effectively give farmers advice on ratooning due to the limited
494	published research from southern and eastern Africa. Even globally, a recent publication by the Legume
495	Society (2016) hardly mentions ratooning. Our research demonstrates a clear case of farmer innovation to
496	enhance the benefit of soil quality from pigeonpea. Development organizations initially introduced
497	pigeonpea as an annual crop in 1999, however farmers experimented with ratooning the crop for 2-3
498	years (Snapp, Unpublished). As farmers gained experience, they switched from an annual to perennial
499	production model. This was a surprising outcome, since ratooning is not described as a recommended
500	practice by Malawi's Ministry of Agriculture (2012).
501	Perennial grains breeding is highly contested and suffers from a lack of investment. Some past
502	investments have been made by the International Crops Research Institute for the Semi-Arid Tropics
503	(ICRISAT) to improve pigeonpea for ratooning systems in India (Reddy and Raju 1997b), as well as in
504	southern and eastern Africa (Gwata and Silim 2009). However, there is evidence that the priorities of
505	African farmers for long-duration and biofuel types of pigeonpea that are conducive to ratooning are not
506	currently on the agenda of the world's foremost public pigeonpea research centers. A recent ICRISAT
507	(2015) workshop with African seed companies identified the following priorities for pigeonpea breeding:
508	"Improved pigeonpea hybrids and their seed production; New plant type concept on

"Improved pigeonpea hybrids and their seed production; New plant type concept on

509 improvement of erect varieties and parental lines; Expansion and promotion of super-early 510 pigeonpea genotypes in new niches." 511 Two out of the three of the stated priorities explicitly focus on ultra-early maturing and erect types. There may be unanticipated trade-offs by pursuing such research agendas. Ratooned pigeonpea offers the 512 513 advantage of higher yields and greater nitrogen fixation in subsequent years (Snapp and Silim 2002), in 514 addition to labor savings and reduced insect damage when intercropped with maize (Dasbak et al. 2012). 515 More research is needed to evaluate trade-offs between rationed and annual pigeonpea in rainfed farming 516 systems with variable climatic conditions. 517 In the case of sorghum, we found that ratooning is not a widely accepted practice in Zomba where it is 518 most commonly grown. Ratooning may promote 'head smut' due to early maturation of the grain during 519 the rainy season. Similarly, Mbwaga et al. (1993) in a survey of diseases and pests of sorghum in 520 Tanzania found a sporadic incidence of loose kernel smut (Sphacelotheca cruenta [Kühn]) at a late stage 521 of development at two research stations, particularly on rationed sorghum and secondary tillers. 522 Additionally, rationed sorghum provides overwintering sites for stalk borers (Kfir 1997). Still, in 523 Ethiopia, a survey found that 30% of farmers rationed sorghum for animal feed, food, and fuelwood 524 (Mekbib 2009). This indicates that the early maturity of rationed sorghum may be useful in drought years 525 but less so in normal rainfall years. 526 Moreover, farmers are concerned about issues of incompatibility of rationed sorghum in association with 527 maize, which is the priority crop for farmers. Impacts of ratooning sorghum on soil fertility and grain 528 vield remain unclear. There is the potential to expand the area under sorghum cultivation since farmers in 529 Kasungu and Mzimba expressed an interest in sorghum trials. Current information is needed on the 530 agronomic aspects of ratooning (Mekbib 2009), such as the observations provided by a Brazilian study by 531 Foloni et al. (2008) that outlines specific cutting practices and fertility management strategies depending 532 on whether the objective for the ration crop is forage or grain. 533 Very little research has been devoted to sorghum in Malawi, despite its historic role in staving off famine. 534 One study from northern Malawi found that sorghum was replaced by maize due to policies that promoted 535 hybrid maize and fertilizer inputs, as well as due to male outmigration over the last two decades that have 536 affected the availablity of labor for defending sorghum from birds (Bezner Kerr 2014). Still, perennial 537 sorghum may be successful in Malawi if breeding efforts improve resistance to birds (see Bullard and 538 York 1996; Dykes and Rooney 2006) and to grain molds (see ICRISAT 2015). In the case of pigeonpea 539 however, international breeders have largely communicated an agenda aimed at industrial compatibility 540 that includes specialized plant types to accommodate larger plant populations for mechanical harvesting

541 (ICRISAT 2015). 542 In addition to its labor savings and multiple harvestable products, sorghum may offer ecological benefits 543 to smallholder farming systems in Africa. Sorghum is a good candidate for preventing soil erosion due to 544 its extensive root system and compatibility with ratooning over multiple years. However, Reynolds et al. 545 (2015) state that sorghum and millets may have a negative environmental impact in Sub-Saharan Africa 546 even though there is relatively little research on the topic. 547 Finally, we found that limited markets for cassava exist in Mzimba and Kasungu. Farmers were interested 548 in developing markets, such as linking with starch processors. Cassava improves family nutrition in the 549 lean months for maize and it tolerates marginal soil conditions. Additionally, cassava is used by farmers to 550 loosen soils immediately after fallows. For further reference, see the characterization by Chipeta and 551 Bokosi (2013) of cassava production in Malawi along with their suggestions for future research and 552 development.

5. Conclusion

553

554 Our review of the literature on perennial staple crops brings up issues that require support for near-term 555 impact and long-term development. Increased investment is needed for breeding and agroecological 556 research on perennial staple crops. Breeding efforts should aim to stabilize yields from ratoon crops of 557 both sorghum and pigeonpea, as well as identify varietal adaptability and cropping arrangements suited to 558 local conditions. The challenges of increased disease and pest incidence in ration crops, particularly 559 sorghum, is needed. We did not identify sufficient documentation on whether C. partellus is contributing 560 to the abandonment of ratooned sorghum in southern and eastern Africa. Some studies that we reviewed, 561 as well as reports from farmers, suggest that resistant genotypes to diseases and pests can result in highly 562 productive ration systems. Additionally, more studies are needed that evaluate trade-offs between the 563 multi-year productivity of the crops in this study when managed in ratooned and annual systems. Such 564 actions may stimulate greater support for farmer innovations that include perennial staple crops. 565 As has been highlighted by both the literature review and semi-structured interviews with farmers, 566 ratooned sorghum and pigeonpea are flexible risk management strategies already used by farmers. 567 However, according to our study, participatory on-farm research on ratooning systems has yet to occur in 568 southern and eastern Africa or beyond. In times of persistent labor shortages in much of the world, 569 ratooning offers farmers a way to increase their productivity with minimal additional effort. On-farm 570 studies are particularly important since planting densities can actually lead to decreased pigeonpea yields 571 depending on local conditions. Moreover, limited research has studied ratooning as a water management 572 strategy for limited resource farmers. This is particularly compelling due to the ecosystem services

- reported by farmers; pigeonpea and cassava are thought to improve soil fertility and rainwater infiltration.
- 574 Community-based and farmer strategies for protecting perennial grains from livestock are in their nascent
- 575 stages of formation in the Northern and Central Regions of Malawi. Strengthening these initiatives will
- 576 involve community engagement for the improvement of perennial food crop production. Linking farmers
- 577 with emerging markets is one strategy. Finally, capacity-building with service providers can help meet
- farmers' interest in perennial staple crops through seed exchanges, participatory field trials, and the
- 579 construction of agroecological knowledge. Research can help overcome the constraints that farmers face
- with respect to the broader adoption of perennial staple crops, as well as contribute to understanding of
- 581 ecological and social significance.

582 **References**

- Aguiar P (1981) Annual yield potential of grain sorghum sorghum-bicolor under irrigated conditions.
- 584 Pesq Agropecu Bras 16:117–120.
- Altieri M (2004) Linking ecologists and traditional farmers in the search for sustainable agriculture. Front
- 586 Ecol Env 2:35-42. doi: 10.2307/3868293
- Altieri M, Toledo V (2011) The agroecological revolution in Latin America: Rescuing nature, ensuring
- food sovereignty and empowering peasants. J Peasant Stud 38:587–612. doi:
- 589 10.1080/03066150.2011.582947
- Arunachalam L, Kulandaivelu R, Palaniappan S, Devashhayam M (1993) Effect of intercrops on yield
- attributes of sorghum ratoon. Madras Agric J 80:702–704.
- Asokaraja N, Ramiah S (1988) Parallel and relay intercropping in rainfed redgram on growth and yield.
- 593 Madras Agric J 75:24–28.
- 594 Balasubramaniam A, Manickasundram P (1993) Nutrient management for sorghum-sorghum ratoon
- 595 system. Madras Agric J 80:718–720.
- 596 Bapat D, Shinde M (1978) Grain grass sorghums for light soils of Maharashtra. Sorghum Newsl 21:44–
- 597 45.
- 598 Batello C, Marzot M, Touré A, Kenmore P (2004) Kreb. In: The future is an ancient lake: Traditional
- knowledge, biodiversity and genetic resources for food and agriculture in Lake Chad Basin ecosystems.
- 600 FAO, Rome, pp 105–125
- 601 Batello C, Wade L, Pogna N (eds) et al (2014) Perennial crops for food security. FAO, Rome
- 602 Bergquist R (1973) Colletotrichum graminicola on Sorghum bicolor in Hawaii. Plant Dis Report 57:272–

- 603 275
- Bezner Kerr R (2014) Lost and found crops: Agrobiodiversity, indigenous knowledge, and a feminist
- political ecology of sorghum and finger millet in northern Malawi. Ann Assoc Am Geogr 104:577–593.
- 606 doi: 10.1080/00045608.2014.892346
- Bhat K, Hosmani M (1993) Performance of ration as influenced by planting pattern, fertilizer levels and
- intercropping of cowpea in sorghum. Karnataka J Agric Sci 6:194–196.
- Brahmbhatt B, Patel A (1983) Role of moisture conservation practices for semi-arid condition of Gujarat.
- 610 Gujarat Agric Univ Res J 8:58–66.
- 611 Brown J, Howard S, Loveland T et al (2009) ISLSCP II IGBP DISCover and SiB Land Cover, 1992-1993.
- Brunson M, Griffin J (1988) Comparison of rice-crayfish and grain sorghum-crayfish double cropping
- 613 systems. Aquac 72:265–272. doi: 10.1016/0044-8486(88)90215-3
- Buchanan J (1885) The Shirè Highlands (east central Africa) as colony and mission. Blackwood, London
- Bullard R, York J (1996) Screening grain sorghums for bird tolerance and nutritional quality. Crop Prot
- 616 15:159–165. doi: 10.1016/0261-2194(95)00107-7
- 617 Calderwood M, Foale M, Radford B (1996) Management of ratooned grain sorghum in a dryland farming
- 618 system. AIAS Occas Publ 327–332.
- 619 Chauhan Y, Johansen C, Gupta S (1996) Assessment of ratoonability of short-duration pigeonpea
- 620 genotypes. J Agric Sci 127:331–335.
- 621 Chauhan Y, Venkataratnam N, Sheldrake A (1984a) The high-yield potential of extra-early pigeonpeas in
- 622 peninsular india. Int Pigeonpea Newsl 28–31.
- 623 Chauhan Y, Venkataratnam N, Sheldrake A (1984b) Three-season pigeonpea: A new cropping system. Int
- 624 Pigeonpea Newsl 27–28.
- 625 Chen M, Yein C (2005) Residual effects of rice hulls applied to sorghum fields in ration cultivation. J
- 626 Agric Assoc China 6:142–148.
- 627 Chipeta M, Bokosi J (2013) Status of cassava (Manihot esculenta) production and utilization in Malawi.
- 628 Int J Agron and Plant Prod 4:3637–3644.
- Dasbak M, Echezona B, Asiegbu J (2012) Field insect pests and crop damage assessment of pigeon pea
- 630 (Cajanus cajan [L.] Huth) grown under ratoon and in mixture with maize. Chil J Agric Res 72:45–52.
- 631 Davies G, Pollard L, Mwenda M (2010) Perceptions of land-degradation, forest restoration and fire
- 632 management: A case study from Malawi. Land Degrad Dev 21:546–556. doi: 10.1002/ldr.995

- 633 Devereux S (2002) The malawi famine of 2002. IDS Bull 33:70–78. doi: 10.1111/j.1759-
- 634 5436.2002.tb00046.x
- Dunavin L (1975) Sorghum alone vs. corn and sorghum in double-harvest program for silage. Soil Crop
- 636 Sci Soc Fla 34:143–145.
- Duncan R (1979) Comparative grain yield relationships of three maturity groups of sorghum hybrids
- under ration cropping. Cereal Res Commun 7:27–32.
- Duncan R, Gardner W (1984) The influence of ration cropping on sweet sorghum yield, sugar
- production, and insect damage. Can J Plant Sci 64:261–274. doi: 10.4141/cjps84-040
- Duncan R, Miller F, Bockholt A (1980) Inheritance of tiller regrowth in rationed sorghum. Can J Plant
- 642 Sci 60:473–478. doi: 10.4141/cjps80-069
- Duncan R, Moss R (1987) Comparative yields of ration cropped temperately and tropically adapted grain
- 644 sorghum hybrids. Crop Sci 27:569. doi: 10.2135/cropsci1987.0011183X002700030030x
- Dykes L, Rooney L (2006) Sorghum and millet phenols and antioxidants. J Cereal Sci 44:236–251. doi:
- 646 10.1016/j.jcs.2006.06.007
- 647 Eldridge C (2002) Why was there no famine following the 1992 southern African drought? IDS Bull
- 648 33:79–87. doi: 10.1111/j.1759-5436.2002.tb00047.x
- Escalada R (1974) Tillering and ratoon cropping of grain sorghum (Sorghum bicolor (Linn.) Moench).
- 650 Diss Abstr Int 34:4159–4159.
- European Space Agency (2000) Global land cover.
- 652 http://www.esa.int/spaceinimages/Images/2005/05/GLC 2000 GLOBCOVER s predecessor. Accessed
- 653 19 Jan 2016
- European Space Agency (2005) Global land cover.
- http://www.esa.int/spaceinimages/Images/2008/06/Earth s land cover. Accessed 19 Jan 2016
- European Space Agency (2010) Global land cover.
- 657 http://www.esa.int/spaceinimages/Images/2010/12/ESA s 2009 global land cover map. Accessed 19
- 658 Jan 2016
- 659 Fanzo J, Marshall Q, Dobermann D et al (2015) Integration of nutrition into extension and advisory
- services: A synthesis of experiences, lessons, and recommendations. Food Nutr Bull 36:120–137. doi:
- 661 10.1177/0379572115586783
- Ferguson R, Lovell S (2014) Permaculture for agroecology: A review. Agron Sustain Dev 34:251–274.

- 663 doi: 10.1007/s13593-013-0181-6
- Foale M, Carberry P (1996) Sorghum in the farming system: Reviewing performance, and identifying
- opportunities by doing on-farm research. AIAS Occas Publ 63–77.
- Foloni J, Tiritan C, Calonego J, Dundes L (2008) Rebrota de soqueiras de sorgo em função da altura de
- 667 corte e da adubação nitrogenada. Rev Ceres 55:102–108.
- 668 Gaikwad C, Jadhav A, KALBHOR P (1984) Comparative performance of ratooning vs. planting of
- sorghum hybrids and cultivar Maldandi-35-1 under rainfed conditions. J Maharashtra Agric Univ 9:147–
- 670 149.
- 671 Goodroad L, Duncan R (1988) Nitrogen fertilizer management of ratooned grain sorghum. J Plant Nutr
- 672 11:209–216. doi: 10.1080/01904168809363796
- 673 Gwata E, Silim S (2009) Utilization of landraces for the genetic enhancement of pigeonpea in eastern and
- 674 southern Africa. J Food Agric Environ 7:803–806.
- Haacker M (2002) The economic consequences of HIV/AIDS in southern africa. Social Science Research
- 676 Network, Rochester
- Harlan J (1989a) Wild grass seed harvesting in the Sahara and Sub-Sahara of Africa. In: Harris D,
- Hillman G (eds) Foraging and farming: The evolution of plant exploitation. Unwin Hyman, London, pp
- 679 79–98
- 680 Harlan J (1989b) The tropical african cereals. In: Harris D, Hillman G (eds) Foraging and farming: The
- evolution of plant exploitation. Unwin Hyman, London, pp 335–343
- Huang H, Tsai Y, Huang S (1992) The effects of application of pig manure on the growth and yield of
- upland crops. Bull Taichung Dist Agric Improv Stn 19–26.
- 684 ICRISAT (2015) An exercise in demand-driven research: HPRC consultation meeting.
- Ikerra S, Maghembe J, Smithson P, Buresh R (2001) Dry-season sesbania fallows and their influence on
- nitrogen availability and maize yields in Malawi. Agrofor Syst 52:13–21. doi: 10.1023/A:1010772520991
- Jang C, Vencill W, Paterson A et al (2006) Functional classification, genomic organization, putatively cis-
- acting regulatory elements, and relationship to quantitative trait loci, of sorghum genes with rhizome-
- 689 enriched expression. Plant Physiol 142:1148–1159. doi: 10.1104/pp.106.082891
- Johansen C, Chauhan Y, Gupta S, Taylan F (1991) Assessing short-duration pigeonpea for multiple-
- harvest potential. Int Pigeonpea Newsl 15–17.
- Jones A, Shrinivas A, Bezner-Kerr R (2014) Farm production diversity is associated with greater

- 693 household dietary diversity in Malawi: Findings from nationally representative data. Food Policy 46:1–
- 694 12. doi: 10.1016/j.foodpol.2014.02.001
- 695 Kane D, Rogé P, Snapp S (2016) A systematic review of perennial staple crops literature using topic
- modeling and bibliometric analysis. PLOS ONE 11:e0155788. doi: 10.1371/journal.pone.0155788
- 697 Kanyama-Phiri G, Snapp S, Wellard K (2000) Towards integrated soil fertility management in Malawi:
- 698 Incorporating participatory approaches in agricultural research.
- 699 http://www.iied.org/pubs/pdfs/7422IIED.pdf. Accessed 12 Jan 2016
- 700 Kassie M, Teklewold H, Jaleta M et al (2015) Understanding the adoption of a portfolio of sustainable
- intensification practices in eastern and southern Africa. Land Use Policy 42:400–411. doi:
- 702 10.1016/j.landusepol.2014.08.016
- 703 Kfir R (1997) Competitive displacement of Busseola fusca (Lepidoptera: Noctuidae) by Chilo partellus
- 704 (Lepidoptera: Pyralidae). Annu Entomol Soc Am 90:619–624.
- 705 Kfir R (1992) Seasonal abundance of the stem borer Chilo partellus (Lepidoptera: Pyralidae) and its
- parasites on summer grain crops. J Econ Entomol 85:518–529.
- 707 Kfir R, Bell R (1993) Intraseasonal changes in populations of the African stem borer Busseola fusca
- 708 (Fuller) (Lepidoptera; Noctuidae) and its parasitoids in Natal, South Africa. J Afr Zool 107:543–553.
- Legume Society (2016) Developing a crop for the developing world: Advances in pigeonpea research.
- 710 Lomte M, Dabhade R (1990) Ratoon management in grain sorghum (Sorghum bicolor). Indian J Agric Sci
- 711 60:173–176.
- 712 LP DAAC (2000a) MODIS land surface temperature (MOD13Q1).
- https://lpdaac.usgs.gov/dataset_discovery/modis/modis_products_table/mod13q1. Accessed 19 Jan 2016
- 714 LP DAAC (2000b) MODIS land cover type (MOD13Q1).
- 715 https://lpdaac.usgs.gov/dataset_discovery/modis/modis_products_table/mcd12q1. Accessed 19 Jan 2016
- 716 Mackenzie D, Basinski J, Parbery D (1970) The effect of varieties, nitrogen and stubble treatments on
- successive cycles of grain and forage sorghums in the Ord River Valley. Aust J Exp Agric 10:111–117.
- 718 Mahale S, Salunkhe C, Bonde R, Attarde D (1997) Effects of methods of ratooning and fertilizer levels on
- 719 productivity of red gram (ICPL-87). J Maharashtra Agric Univ 21:196–198.
- 720 Maheshwari S, Sharma R, Gangrade S (1997) Performance of palmarosa (Cymbopogon martini var.
- motia) and pigeonpea (Cajanus cajan) intercropping under different planting geometries. Indian J Agron
- 722 42:425–428.

- 723 MASDAP (1990) Malawi Landcover Scheme II.
- http://www.masdap.mw/layers/geonode:malawi landcover 1990 schema 2. Accessed 3 Feb 2016
- 725 MASDAP (2000) Malawi Landcover Scheme II.
- http://www.masdap.mw/layers/geonode:malawi landcover 2000 schema 2. Accessed 3 Feb 2016
- 727 MASDAP (2010) Malawi Landcover Scheme II.
- http://www.masdap.mw/layers/geonode:malawi landcover 2010 schema 2. Accessed 3 Feb 2016
- Mbwaga A, Pande S, De Milliano W, Karunakar R (1993) Diseases and parasitic weeds of sorghum in
- 730 Tanzania: Occurrence and incidence, 1986–1990. Crop Prot 12:183–188. doi: 10.1016/0261-
- 731 2194(93)90106-S
- 732 Mekbib F (2009) Farmers' breeding of sorghum in the centre of diversity: Ethiopia: I. socio-ecotype
- differentiation, varietal mixture and selection efficiency. Maydica 54:25–37.
- 734 Messina J, Adhikari U, Carroll J (eds) et al (2014) Population growth, climate change and pressure on the
- 735 land: Eastern and southern Africa. Michigan State University, East Lansing
- 736 Ministry of Agriculture (2012) Guide to agriculture. Lilongwe
- 737 Molina A, Cabangbang R, Quintana R (1977) Ratoon performance of selected grain sorghum varieties at
- three levels of plant population and nitrogen fertilization. Philipp J Crop Sci 2:109–125.
- Mote U (1983) Relative damage due to major pests and losses caused by them on kharif, ratoon and rabi
- 740 sorghum. Pestic 17:19–20.
- Mote U, Bapat D, Shirole S, Murti T (1982) Estimation of losses caused by major pests in main and
- ration crops of sorghum. Indian J Plant Prot 9:50–55.
- 743 Mulwafu W (2011) Conservation song: A history of peasant-state relations and the environment in
- Malawi, 1860-2000. White Horse, Cambridge
- Mwase W, Bjørnstad Å, Bokosi J et al (2006) The role of land tenure in conservation of tree and shrub
- species diversity in miombo woodlands of southern Malawi. New For 33:297–307. doi: 10.1007/s11056-
- 747 006-9029-0
- Ngwira A, Kabambe V, Kambauwa G et al (2012) Scaling out best fit legume technologies for soil
- 749 fertility enhancement among smallholder farmers in Malawi. Afr J Agric Res 7:918–928.
- Nimbole N (1997) Maximum yield and survival of perennial pigeonpea (Cajanus cajan) by improving
- 751 planting site, watering and chemicals. Indian J Agric Sci 67:507–509.
- 752 NRC (1996) Lost crops of Africa: Grains. National Academy, Washington, DC

- 753 Orr A, Kambombo B, Roth C et al (2015) Adoption of integrated food-energy systems: Improved
- 754 cookstoves and pigeonpea in southern Malawi. Exp Agric 51:191–209. doi: 10.1017/S0014479714000222
- Pal M, Kaushik S (1969) A note on ratooning of sorghum in northern India. Indian J Agron 14:296–298.
- Pandey N, Singh N (2000) Ratoon feasibility of long-duration pigeonpea hybrids. International Chickpea
- and Pigeonpea Newsl 47–49.
- Parmornchantr P (1966) Grain sorghum growing and advantage of ratoons in making selection. Kasikorn
- 759 39:373–382.
- Patel B, Patel K, RS J, Raman S (1989) Scheduling irrigation in ration sorghum on the basis of critical
- stages. Gujarat Agric Univ Res J 14:1–6.
- Patel R, Bezner Kerr R, Shumba L, Dakishoni L (2015) Cook, eat, man, woman: Understanding the New
- Alliance for Food Security and Nutrition, nutritionism and its alternatives from Malawi. J Peasant Stud
- 764 42:21–44. doi: 10.1080/03066150.2014.971767
- Pawar H, Thaval D (1984) Comparative performance of ration sorghum sorghum-bicolor and wheat
- 766 Triticum-aestivum grown after kharif sorghum intercropped with cowpea Vigna-sinensis. J Maharashtra
- 767 Agric Univ 9:72–74.
- Quinion A, Chirwa P, Akinnifesi F, Ajayi O (2010) Do agroforestry technologies improve the livelihoods
- of the resource poor farmers? Evidence from Kasungu and Machinga districts of Malawi. Agrofor Syst
- 770 80:457–465. doi: 10.1007/s10457-010-9318-7
- Ramshe D, Surve D, Patil B (1985) Production potential and economics of multiple cropping systems. J
- 772 Maharashtra Agric Univ 10:312–314.
- 773 Rao D, Damodaram G (1972) Preliminary studies on ratooning in sorghum varieties and hybrids. Madras
- 774 Agric J 59:301–303.
- 775 Reddy M, Raju T (1997a) Evaulation of pigeonpea (cajanus cajan) varieties for resistance to wilt caused
- by fusarium udum and sterility mosaic disease in a perennial system. Indian J Agric Sci 67:437–439.
- Reddy M, Raju T (1997b) Evaulation of pigeonpea (Cajanus cajan) varieties for resistance to wilt caused
- by Fusarium udum and sterility mosaic disease in a perennial system. Indian J Agric Sci 67:437–439.
- Reddy M, Willey R (1985) Evaluations of alternate cropping systems for Alfisols of the Indian semi-arid
- 780 tropics. Exp Agric 21:271–280. doi: 10.1017/S001447970001262X
- Reynolds T, Waddington S, Anderson C et al (2015) Environmental impacts and constraints associated
- 782 with the production of major food crops in Sub-Saharan Africa and South Asia. Food Secur 7:795–822.

- 783 doi: 10.1007/s12571-015-0478-1
- 784 Sanchez P (1995) Science in agroforestry. Agrofor Syst 30:5–55. doi: 10.1007/BF00708912
- Satzinger F, Bezner Kerr R, Shumba L (2009) Intergenerational participatory discussion groups foster
- 786 knowledge exchange to improve child nutrition and food security in northern Malawi. Ecol Food and Nutr
- 787 48:369–382. doi: 10.1080/03670240903170483
- 788 Saxena K, Sharma D, Green J (1976) Pigeon pea ratooning: An aid to breeders. Trop Grain Legum Bull
- 789 21–21.
- 790 Sharma D, Saxena K, Green J (1978) Potential of ratooning in pigeonpea. Field Crop Res 1:165–172. doi:
- 791 10.1016/0378-4290(78)90019-9
- 792 Snapp S Innovations in extension from Malawi. HortTechnology 14:8–13.
- Snapp S, Blackie M, Gilbert R et al (2010) Biodiversity can support a greener revolution in Africa. Proc
- 794 Natl Acad Sci 107:20840–20845. doi: 10.1073/pnas.1007199107
- 795 Snapp S, Fisher M (2015) "Filling the maize basket" supports crop diversity and quality of household diet
- 796 in Malawi. Food Secur 7:83–96. doi: 10.1007/s12571-014-0410-0
- 797 Snapp S, Silim S (2002) Farmer preferences and legume intensification for low nutrient environments.
- 798 Plant Soil 245:181–192. doi: 10.1023/A:1020658715648
- 799 Stinson D, Arkin G, Howell T (1981) Modeling grain sorghum ration cropping and associated runoff and
- 800 sediment losses. Trans ASAE 24:631–635.
- 801 Subramanian P, Purushothaman S, Pechiappan S (1986) Irrigation management for ration sorghum.
- 802 Madras Agric J 73:389–393.
- Tayo T (1985) Assessment of the effect of ratooning pigeon pea (Cajanus cajan (l.) millsp.) in the lowland
- 804 tropics. J Agric Sci 104:589–593. doi: 10.1017/S0021859600044361
- Thangata P, Hildebrand P, Gladwin C (2002) Modeling agroforestry adoption and household decision-
- 806 making in malawi.
- 807 Touchton J, Martin P (1981) Response of ratooning grain-sorghum to nitrogen-fertilizer and insecticides.
- 808 Agron J 73:298–300. doi: 10.2134/agronj1981.00021962007300020013x
- Vaughan M (1987) The story of an African famine: Gender and famine in twentieth-century malawi.
- 810 Cambridge Univ, New York
- Venkataratnam N, Sheldrake A (1985) Second harvest yields of medium duration pigeonpeas (Cajanus
- 812 cajan) in peninsular India. Field Crop Res 10:323–332. doi: 10.1016/0378-4290(85)90038-3

- Wade L, Douglas A, Foreman J (1992) Agronomic measures to minimise limitations to grain yield of
- 814 irrigated and ratooned grain sorghum. AIAS Occas Publ 423–433.
- Yadav N, Yadav D (1991) Second harvest yield of pigeonpea as influenced by different varieties and plant
- population density. Indian J Pulses Res 4:117–119.
- Yadav N, Yadav D (1992) Production potential and economic returns of different pigeonpea (Cajanus-
- cajan)-based cropping systems as influenced by variety and plant-population. Indian J Agron 37:430–435.
- Yadava N, Yadav D (1995) Comparative performance of different cropping systems based on pigeonpea
- 820 (Cajanus-cajan). Indian J Agric Sci 65:106–108.
- Zulu L, Adams E, Chikowo R, Snapp S (2015) Emergence of community-based livestock management
- 822 institutions to control herbivory for promoting scaling up of pigeon peas in legumes/maize systems in
- 823 central and southern Malawi. Michigan State University

Table 1: The count of journal articles on the practice of ratooning sorghum and pigeonpea. References were retrieved from a search of five bibliographic databases (see Kane et al. 2016). Counts and percentages reflect only those articles that had sufficient bibliographic information to determine the location of the study.

Crop	Country or region	Count	Percentage
sorghum	India	22	40
	United States	12	22
	Australia	5	9
	South Africa	3	5
	Taiwan	3	5
	Brazil	2	4
	Canada	2	4
	China	2	4
	Ethiopia	1	2
	Pakistan	1	2
	Philippines	1	2
	Tanzania	1	2
pigeonpea	India	13	81
	Nigeria	2	12
	southern and eastern Africa	1	6

Table 2: The guiding questions for semi-structured interviews in roughly the order that the enumerators posed them. As a semi-structured interview methodology, the exact phrasing and order of the questions varied across the interviews. The guiding questions were grouped around four themes that related to our study objectives: (1) the role of perennial staple crops in Malawian farming systems; (2) the cultural practices associated with crop cycles extending through the dry season; (3) farmer logic for placing crops in available fields; and (4) the crop and variety choices of farmers. The interviews began with having farmers map their fields, noting size, rank, and crops grown in the 2013-2014 season.

Theme	Question
4	About your family: size, who lives in the household, what you do, and what you grow.
1	Which months were you without grains for your family in the past year?
3	Which fields maintain soil moisture most and least through the dry season? Why?
3	Which fields would be best suited to produce a grain crop that was planted one year, and harvested in subsequent years? Why?
3	This past year, which crops / varieties were grown in these fields? Why?
3	Were crops combined in the same fields? If so, which ones?
1	Were cassava, pigeon pea, or sorghum produced in any of these fields? Why?
4	In which of these fields were your shortest and longest duration varieties grown? Why?
3	Were any of your fields fallowed? Why or why not?
3	Did livestock enter any of these fields? Which ones, when, and why?
3	Who in the family made decisions about what to grow and how to manage these fields?
4	Which major grain crops were grown?
4	Which crops did you depend on in times of shortage?
1	When did you cultivate, sow, and harvest your long duration crops/varieties?
2	Who cultivated the soil, sowed the seed, and harvested the crop?
1	Will you sell or exchange any grains? Which ones?
1	Which varieties of grain took the longest time to reach maturity?
4	Which crop varieties best tolerated poor soils?
1	Have you ever grown the same crop of cassava, pigeon pea, or sorghum for more than one season? Why did you choose to grow these varieties, or not grow them?
1	Did they present any benefits or restrictions with regard to labor and resources invested, or grain and fodder produced? How did they fit into your crop rotations?
4	Which climatic hardships have you experienced in the past 10 years? Which crop varieties best tolerated these climatic hardships?
1	Has your family ever collected wild grass seeds? Which ones and under which circumstances?
1	Would you consider planting a crop harvested in subsequent years? Would increasing the time to harvest affect your crop rotations, labor, food supply, etc?
1	Would it be possible to plant a long duration crop, like pigeon pea, in these regions? Why or why not?
2	This past year, were your crops cut to help them regrow the next season? If so, when and how was the crop ratooned?
2	How are fields managed to conserve soil moisture for dry season crops? Were any of these practices carried out in your fields this past season?

Table 3: Action and research initiatives that have the potential to enable the scaling out of perennial staple crops in Malawi along with improvements in rural livelihoods.

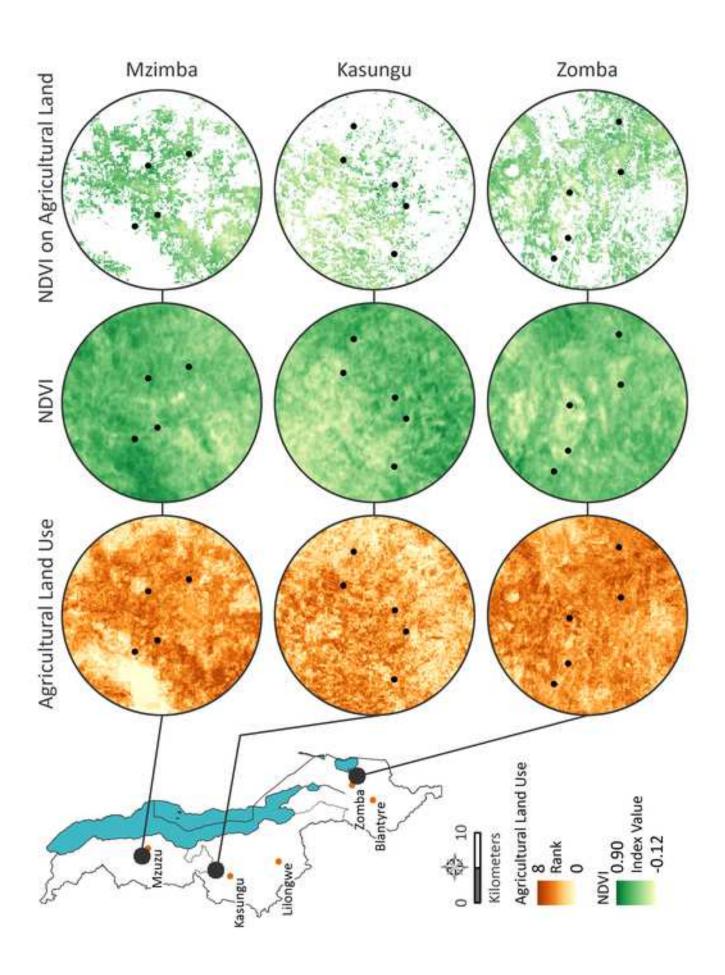
Target	Action and Research Opportunities
Livestock damage to	Identify exemplary cases of prevention and dispute resolution
perennial crops	Understand the influence of markets on enforcement of ordinances
	Find mutually beneficial arrangements for farming and animal husbandry
Soil fertility, fallows,	Integrate multi-use perennial staple crops (fodder, fuelwood, etc)
and conservation	Enhance stewardship and restoration of non-agricultural lands
	Collaboratively develop improved fallows, intercropping, and relay cropping
Agency, family	Organize Seed exchanges, field trials, and agroecological studies
nutrition, and	Enhance the capacity of service providers to stimulate market linkages
economic development	Empower communities through democratic processes and knowledge sharing

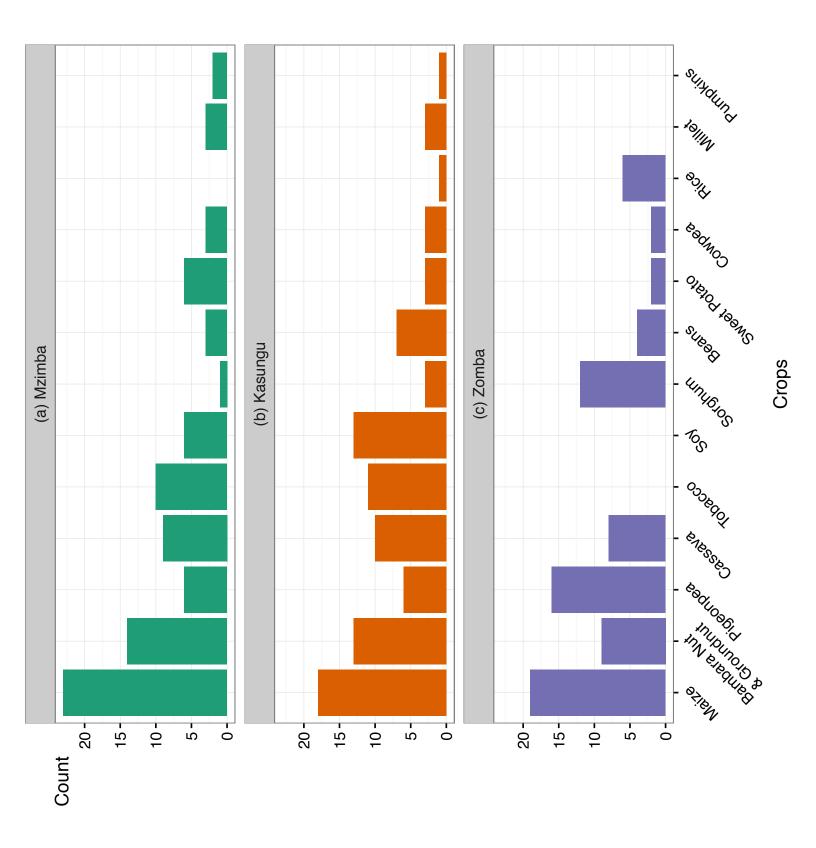
Table 4: Crop-specific action and research initiatives for pigeonpea, cassava, and sorghum in Malawi.

Perennial Crop	Action and Research Opportunities
Pigeonpea	Systems research that explores multi-use crops and agroecological interactions
	Studies on mutualistic integration of livestock with perennial pigeonpea production
	Breeding for perennial, biofuel, and ratoon types of pigeonpea
	Comparative study of trade-offs between types of pigeonpea for rainfed farming systems
Sorghum	Study disease management of ratooned sorghum
	Study the suitability of perennial sorghum in Malawi with varietal trials
	Assess the multi-year impacts of perennial sorghum on soil quality
Cassava	Develop market linkages and increase investments in processing facilities
	Study the agroecological use of cassava, such as to loosen soils after fallows

- **Figure 1.** A photo of ratooned pigeonpea regrowing for a second year in a maize field in Malawi. Note that the pigeonpea was ratooned with an angular cut using a panga knife at an approximate height of 30cm. Additionally, it is of interest to observe that farmers often shift their ridges from one year to the next, and therefore pigeonpea that was planted on top of the ridge in the first year will end up in the pathway between ridges in the second year.
- Figure 2. A map of the sample villages in the Districts of Mzimba, Kasungu, and Zomba of Malawi. The map illustrates (1) mean peak growing season (February-March) Normalized Difference Vegetation Index (NDVI) from 2003 to 2010 in each region, (2) agricultural land-use, and (3) NDVI on agricultural land only. The agricultural land-use map shows the degree of overlap between eight different classification systems. A rank of eight indicates that all eight datasets agree that a particular area is agriculture, and a rank of zero indicates areas where none of the datasets classify a particular area as agriculture. Data sources: NDVI from LP DAAC (2000a) and agricultural land-use from LP DAAC (2000b), European Space Agency (2000), European Space Agency (2000), MASDAP (2000), and MASDAP (2010).
- **Figure 3.** A histogram of crops that farmers in the Malawian Districts of (a) Mzimba (green), (b) Kasungu (orange), and (c) Zomba (purple) reported growing in 2013-2014. There were 86, 92, and 78 responses, respectively. A total of 256 data entries were recorded from the 48 interviews..
- **Figure 4.** A histogram of the constraints for growing perennial staple crops reported by farmers in the Malawian Districts of (a) Mzimba (green), (b) Kasungu (orange), and (c) Zomba (purple). There were 7 responses in each District. A total of 21 data entries were recorded from the 48 interviews.
- Figure 5. A histogram of farmers' production goals for perennial staple crops in the Malawian Districts of (a) Mzimba (green), (b) Kasungu (orange), and (c) Zomba (purple). There were 26, 20, and 25 responses, respectively. A total of 71 data entries were recorded from the 48 interviews.
- **Figure 6.** A histogram of farmers' reasons for fallowing in the Malawian Districts of (a) Mzimba (green), (b) Kasungu (orange), and (c) Zomba (purple) of Mzimba. There were 8, 5, and 5 responses, respectively. A total of 18 data entries were recorded from the 48 interviews.
- **Figure 7.** A histogram of reasons why farmers choose to ratoon or not ratoon perennial staple crops in the Malawian Districts of (a) Mzimba (green), (b) Kasungu (orange), and (c) Zomba (purple). There were 4, 9, and 23 responses, respectively. A total of 36 data entries were recorded from the 48 interviews. Reasons for not ratooning were only recorded in Zomba (depicted with a 50% transparency).







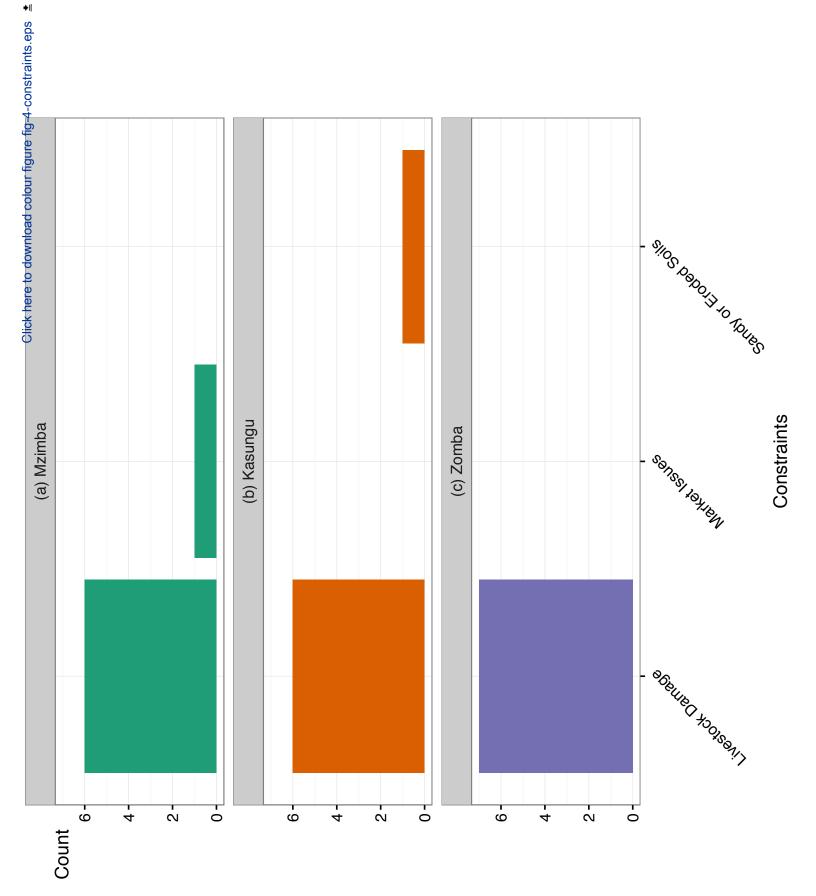


Figure 4 (eps)

